

CA/B FORUM PATENT EXCLUSION NOTICE

Before completing this form, read the CA/B Forum's IPR Policy, including Sections 3.1 ("Participant Royalty-Free Licensing Requirements"), 4.2 ("Excluding Patents and/or Patent Applications From Royalty-Free Licensing Obligations During Review Period"), 4.3 ("Conditions and Procedures for Excluded Patents and/or Patent Applications From CAB Forum RF License"), 4.4 ("Effect of Exclusion Notice"), 5 ("CAB Forum Royalty-Free ("RF") License Requirements"), 8.1 ("Essential Claims"), and 8.2 ("Limitations on the Scope of Definition of Essential Claims").

Pursuant to section 4.3 of the CA/B Forum's IPR Policy, the Participant must complete all required portions of this Exclusion Notice form and submit it to: (a) the CA/B Forum Chair, (b) the appropriate Working Group Chair for the Ballot identified below, and (c) the Working Group's public mailing list, within the IPR Review Period for the Ballot identified below. ***If this Exclusion Notice is not filed within the IPR Review Period, or if any section below is not completed, the Exclusion Notice shall be null and void.*** (Note: Your Exclusion Notice will be publicly posted at <https://cabforum.org/ipr-exclusion-notices/>)

CA/Browser Forum Participant Name: _____ (Organization)

Recognized Authorized Representative for CA/Browser Forum Participant:

Name Title E-mail address:

I certify that the information provided in this Exclusion Notice is accurate and complete.

Signature Date

Ballot Number: _____ Ballot Title: _____

Title of Final Guideline or Final Maintenance Guideline: _____

End of Review Period: _____ Date Exclusion Notice Submitted: _____

(Exclusion Notice - Page 2)

Complete the table below in accordance with Sections 4.2 and 4.3 of the IPR Policy. This table should be used to reference both **granted patents** and **published/unpublished patent applications**. For any unpublished patent applications cited, please attach a copy of the referenced application(s).

Explanation of Required Fields

Complete the following fields for each excluded patent or patent application, consistent with the requirements of §4.3 of the CA/B Forum IPR Policy:

- (a) **Patent Number and Jurisdiction:** Patent number or patent application number, including jurisdiction [Sec. 4.3(a)];
- (b) **Title of Patent / Patent Application:** Title of the patent or patent application [Sec. 4.3(a)];
- (c) **Claims:** Specific claim(s) in the patent or in the patent application being excluded [Sec 4.3(b)];
- (d) **Guideline Section:** Specify the numbered section of the Draft Guideline whose implementation the Participant reasonably believes makes each excluded claim identified in column (c) an Essential Claim [Sec. 4.3(d)];
- (e) **License Election:** License Grant Election Made (license granted, if any, per Sec. 5.1) [Sec. 4.3]; and
- (f) **Subject Matter:** For unpublished patent applications, a description of the application's subject matter that is the basis of the reasonable belief, unless a copy of the application is attached with this form [Sec. 4.3(e)].

(a) Patent Number and Jurisdiction	(b) Title of Patent / Patent Application	(c) Claims	(d) Guideline Section	(e) License Election	(f) Subject Matter (for unpublished applications)

(Adjust column widths and add more rows and pages as necessary)