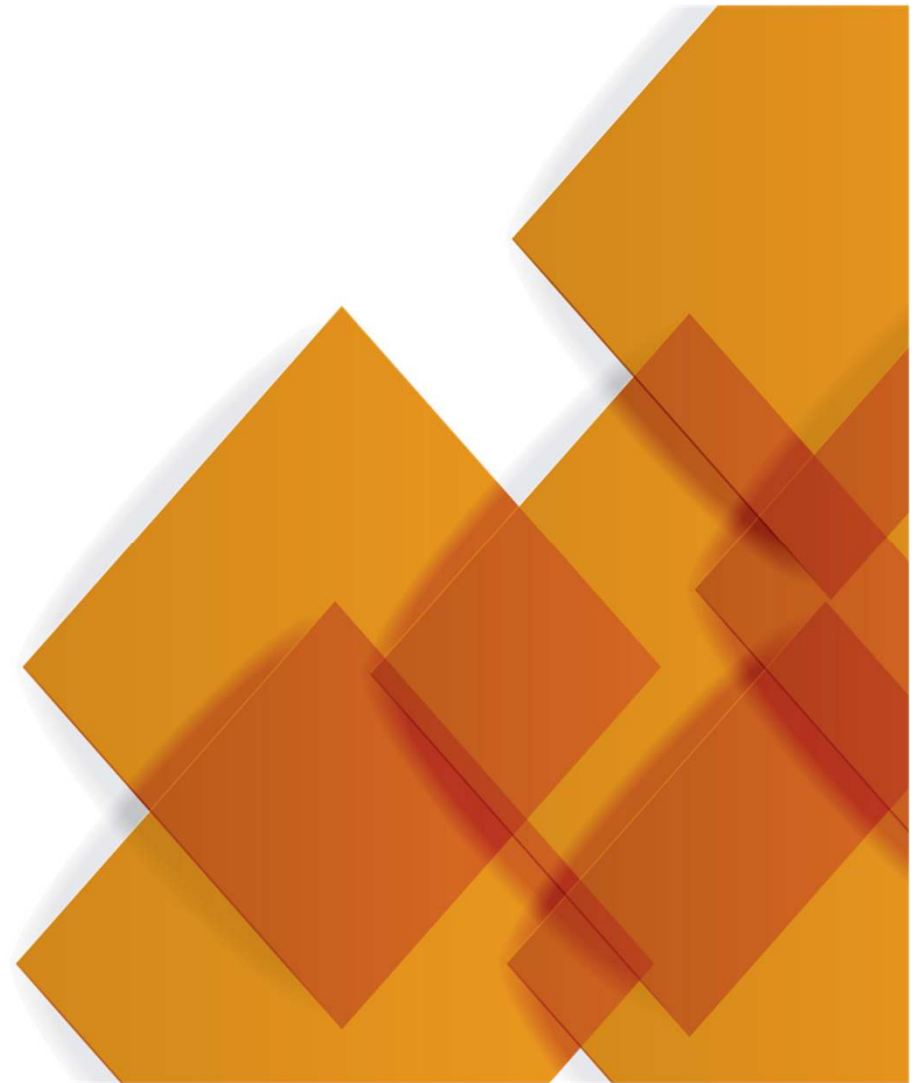




**Accredited Conformity Assessment  
Bodies Council**

**Clemens Wanko**

**53. CA/B-Forum Meeting  
June 15<sup>th</sup> to 17<sup>th</sup>, 2021 – remote**





# AGENDA

- 1. Council overview**
- 2. How to restart audits alongside COVID-19?**
- 3. Audit Attestation Letter template for ETSI auditors**
- 4. Revised EU eIDAS Regulation (draft)**
  - QWACS acceptance mandatory for browser**
  - CA (TSP) requirements for EU critical infrastructures**



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# ACAB'c in short

## Accredited Conformity Assessment Bodies – Council (association)

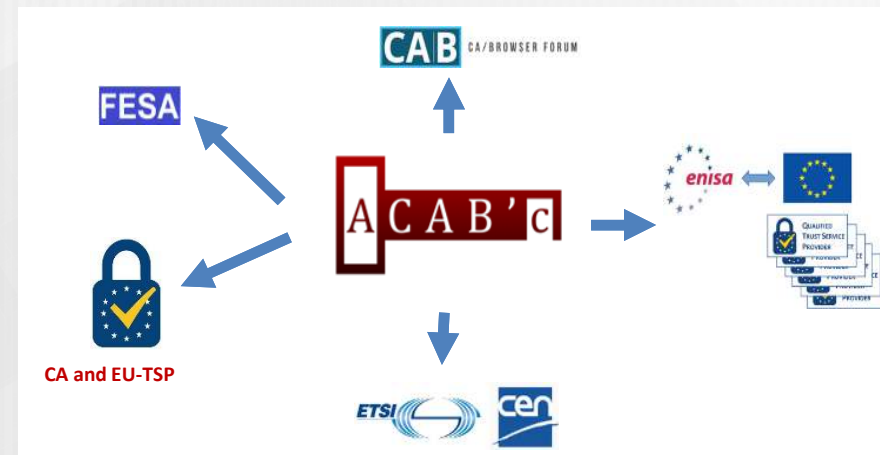
Objective:

**To harmonize amongst accredited conformity assessment bodies (CABs) a comparable/standardized application of conformity assessment requirements (ETSI, CEN, CA/B Forum, eIDAS, etc.)**

### Services for ETSI Auditors/CAB

- working documents (harmonized)
  - Conformity Assessment Report (EU-CAR)
  - Audit Attestation (CA/B-Forum Browser requirements)
- position papers and herewith guidance on standard interpretation
- experience exchange

[www.acab-c.com](http://www.acab-c.com)





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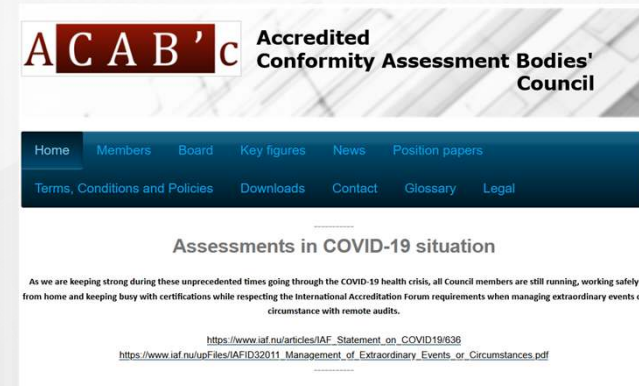
## Restart on site ETSI auditing alongside COVID-19

Overall conclusion:

**Remote auditing worked well in COVID-19 situation**

ETSI auditing

- will switch back to on site as a default, as soon as travel allows for all
  - standard cases  
(...new CA, new services, full audits)
- in special cases remote can remain for
  - specific changes  
(...CA policy documentation related)





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# Audit Attestation Letter (AAL) template – MRSP 2.7.1 adoption (1)

<p>Identification of the conformity assessment body (CAB) and assessment organization:</p>	<ul style="list-style-type: none"> <li>• <u>CAB_company_name</u><sub>1</sub>, street, city, country registered under <u>company_registration</u></li> <li>• Accredited by <u>national_accreditation_body</u> under registration <u>accreditation_registration</u><sub>2</sub> for the certification of trust services according to "EN ISO/IEC 17065:2012" and "ETSI EN 319 403 V2.2.2 (2015-08)" and/or "ETSI EN 319 403-1 V2.3.1 (2020-06)" respectively.</li> <li>• Insurance Carrier (BRG section 8.2): &lt;&lt;&lt; name of insurance company &gt;&gt;&gt;</li> <li>• Third-party affiliate audit firms involved in the audit: none, &lt;&lt;&lt; ...or if any, provide names of audit firms and the jurisdictions in which they performed services &gt;&gt;&gt;</li> </ul>
--	--

<p>Identification and qualification of the audit team:</p>	<ul style="list-style-type: none"> <li>• Number of team members: &lt;&lt;&lt; state headcount &gt;&gt;&gt;</li> <li>• Academic qualifications of team members: All team members have formal academic qualifications or professional training or extensive experience indicating general capability to carry out audits based on the knowledge given below and at least four years full time practical workplace experience in information technology, of which at least two years have been in a role or function relating to relevant trust services, public key infrastructure, information security including risk assessment/management, network security and physical security.</li> <li>• Additional competences of team members: All team members have knowledge of             <ol style="list-style-type: none"> <li>1) audit principles, practices and techniques in the field of CA/TSP audits gained in a training course of at least five days;</li> <li>2) the issues related to various areas of trust services, public key infrastructure, information security including risk assessment/management, network security and physical security;</li> <li>3) the applicable standards, publicly available specifications and regulatory requirements for CA/TSPs and other relevant publicly available specifications including standards for IT product evaluation; and</li> <li>4) the Conformity Assessment Body's processes.</li> </ol>             Furthermore, all team members have language skills appropriate for all organizational levels within the CA/TSP organization; note-taking, report-writing, presentation, and interviewing skills; and relevant personal attributes: objective, mature, discerning, analytical, persistent and realistic.           </li> </ul>
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## Audit Attestation Letter (AAL) template – MRSP 2.7.1 adoption (2)

audit quality management:	<ul style="list-style-type: none"><li>The reviewer fulfils the requirements as described for the Audit Team Members above and has acted as an auditor in at least three complete CA/TSP audits.</li></ul>
Identification of the trust service provider (TSP):	<<< company name, street, city, country, registered under company registration >>>
Audit Period covered for all policies:	yyyy-mm-dd to yyyy-mm-dd
Audit dates:	yyyy-mm-dd to yyyy-mm-dd (remote) yyyy-mm-dd to yyyy-mm-dd (on site)
Audit Location:	locations

# Audit Attestation Letter (AAL) template

## AAL template conformant to...

- ETSI TS 119 403-2
- CA/B Forum requirements
- Browser Root Store Programs

Kept up to date by us.

Version 2.8 for download here:

<https://www.acab-c.com/downloads/>

Space LOGO CAB

**Audit Attestation for**  
**name of the audited company**

**Reference: No. unique identifier**

\*Place Issuing date\*

To whom it may concern,

This is to confirm that "CAB name" has audited the CAs of the "name of the audited company" without critical findings.  
This present Audit Attestation Letter is registered under the unique identifier number "n" and consists of << 6 >> pages.  
Kindly find here below the details accordingly.

In case of any question, please contact:

CAB\_company\_name  
street  
city, country  
E-Mail: email@address  
Phone: phonenumber

With best regards,

\_\_\_\_\_  
Signature\_1  
Title

\_\_\_\_\_  
Signature\_2  
Title

CAB Footpage

**Kommentar (A1):** Only the following date formats are accepted. The number of occurrences of date throughout the attestation:

Month-DD, YYYY - example: May 1, 2016  
DD Month YYYY - example: 7 May 2016  
YYYY-MM-DD - example: 2016-05-01  
No extra text within the date, such as "PM" or "AM", is used, month names must be in English.

**Kommentar (A2):** In case the attestation is issued with critical findings, denote "without critical findings".



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# Revised EU eIDAS Regulation

## Article 45

### Requirements for qualified certificates for website authentication (QWACS)

[...]

- Qualified certificates for website authentication referred to in paragraph 1 shall be recognised by web-browsers. For those purposes web-browsers shall ensure that the **identity data provided using any of the methods is displayed in a user friendly manner. Web-browsers shall ensure support and interoperability with qualified certificates for website authentication referred to in paragraph 1, [...]***

Brussels, 3.6.2021  
COM(2021) 281 final  
2021/0136 (COD)

Proposal for a

**REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL**  
amending Regulation (EU) No 910/2014 as regards establishing a framework for a  
European Digital Identity

{SEC(2021) 228 final} - {SWD(2021) 124 final} - {SWD(2021) 125 final}

## Revised EU eIDAS Regulation

### European CA (TSP) undergo Critical Infrastructure requirements

#### Motivation

*(22) In order to streamline the **cybersecurity obligations imposed on trust service providers**, as well as to enable these providers and their respective competent authorities to benefit from the legal framework established by [.. ] (NIS2 Directive), trust services are required to take appropriate technical and organisational measures pursuant to [...](NIS2 Directive), [...]*

- *system failure, human error, malicious actions or natural phenomena in order to manage the risks posed to the security of network and information systems [...] as well as to notify significant incidents and cyber threats [...]*



CA/B-Forum Meeting  
June 2021 – remote

For further information or in order to register, please contact us at:  
[www.acab-c.com](http://www.acab-c.com)



**The Accredited Conformity Assessment Bodies' council**

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